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#### Surface Form Tolerances and Evaluation<sup>5, 19</sup>

- Written as  $3/A(B/C) \text{ RMSx} < D; \lambda = E$
- A = PV power in fringes by default, but can be specified in nm or  $\mu\text{m}$
- B = PV irregularity in fringes by default, but can be specified in nm or  $\mu\text{m}$
- C = PV rotational invariant irregularity in fringes by default, but can be specified in nm or  $\mu\text{m}$
- D = rms deviation
  - t = total rms surface deviation
  - i = rms surface deviation with power removed
  - a = asymmetric variant rms surface irregularity
- E = wavelength ( $\lambda$ ) in nm
  - If wavelength is not indicated in the title block, it must be specified in the tolerance
- When a dash or nothing is listed in place of one of the tolerances, that type of tolerance will not be applied
- Further forms of surface form tolerances can be applied, such as surface slope or Zernike coefficients
  - Zernike coefficients written specifically as  $3/Z(n, m) (PV < O; \text{RMS} < P)$
  - Z(n, m) = Zernike radial polynomial
  - O = PV surface form deviation
  - P = rms surface form deviation

#### Surface Texture<sup>8</sup>

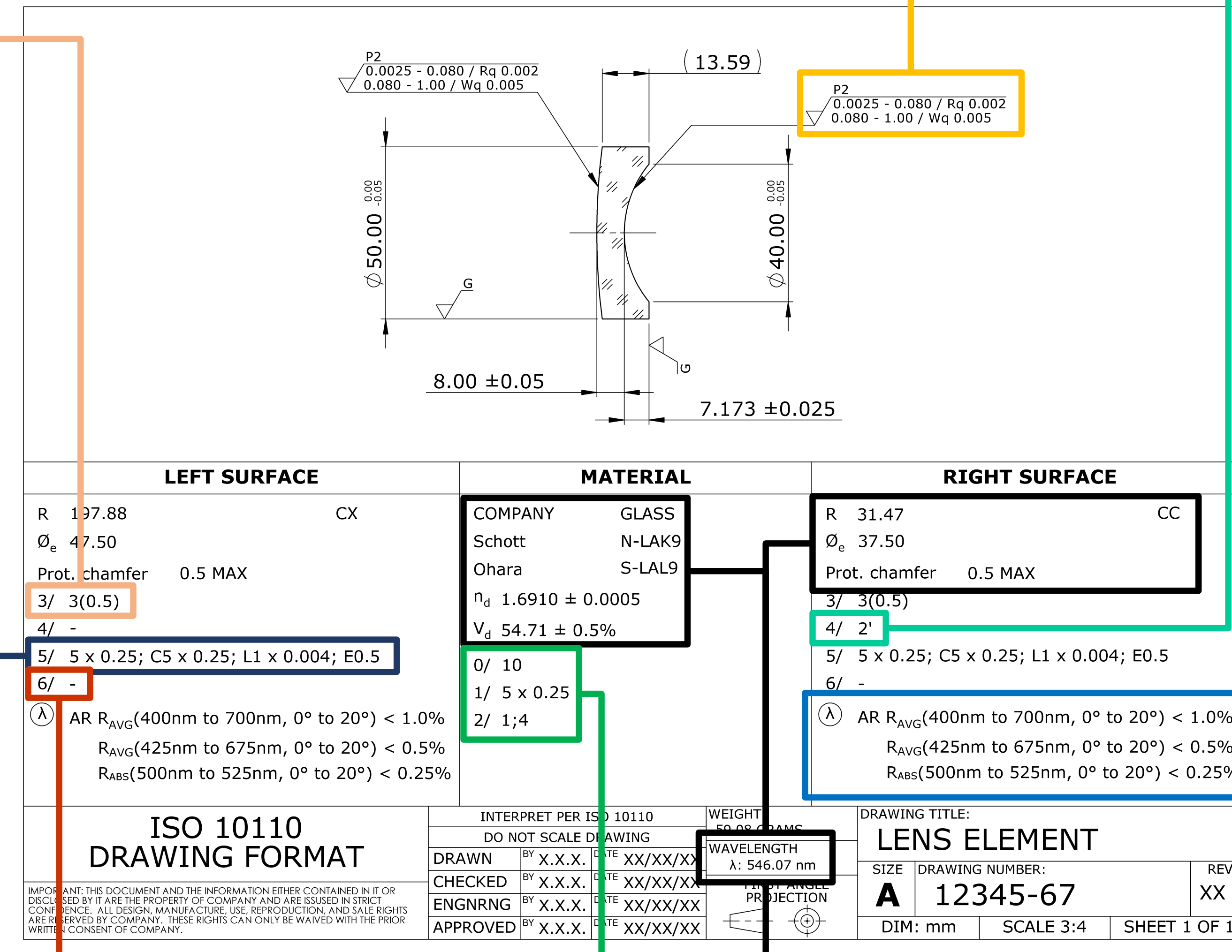
- Polish code above the texture symbol is either P (polished), G (ground) or P1-P4 (polish grades) which indicate a default specification of surface texture
- Texture requirements written as follows
  - Each requirement on its own line, showing a spatial wavelength band and a surface texture specification separated by a /
  - Spatial wavelengths are given in mm
  - Rq indicates rms surface roughness in microns
  - Wq indicates rms surface waviness in microns
  - RDq indicates rms surface slope in microradians
  - PSD indicates the maximum value of the power spectrum over the specified spatial wavelength band, given as a power coefficient and an intercept in  $\text{nm}^2 \text{mm}$
- When upper and lower limits are provided they are indicated with a U or an L
- When the lay of the measurement is provided it is indicated as R (radial), C (circumferential),  $\perp$  (vertical) or = (horizontal).

#### Surface Centering Error Due to Tilt<sup>6</sup>

- Default datums exist for typical, centered, spherical elements. If datum axis is unclear, one must be specified
- Written as follows:
  - Spherical Surface:  $4/\sigma$ 
    - $\sigma$  = maximum tilt relative to either the surface edge (lens edge) or datum axis (optical axis)
  - Aspheric Surfaces or Rotationally Non-Variant Subassemblies:  $4/\sigma(L)$ 
    - $\sigma$  = maximum tilt
    - L = maximum lateral displacement
  - Surface tilt can alternatively be specified by runout (e.g. lens edge measurement):
    - $4/a < A$  or  $4/c < B$ 
      - A = axial runout at the clear aperture
    - $4/a < A$  or  $4/c < B$ 
      - B = circular runout at the surface edge
- Tolerances can be applied as both angular and linear dimensions at single element or assembly level
- When a dash or nothing is listed in place of one of the tolerances, that type of tolerance will not be applied

#### Surface Imperfections and Evaluation<sup>7, 20</sup>

- Imperfections can be described by two methods
  - Visibility imperfections (MIL historical standard):  $5/S\text{-}D; CS\text{'-}D'; EA_e$ 
    - Each group represents different types of imperfections
      - S-D = general surface scratch and dig designation
      - CS-D' = coated aperture scratch and dig designation
      - EA<sub>e</sub> = edge chips
    - Accumulation and concentration rules apply
  - Dimensional imperfections (DIN historical standard):  $N_g \times A_g; CN_c \times A_c; LN_l \times A_l; EA_e$ 
    - Each group with a different prefix designation classifies types of imperfections
      - No Prefix = general surface imperfections
      - C = imperfections on across a coating
      - L = long scratches outside of general surface grades
      - E = edge chips
    - Each designation is listed in two methods
      - $N_g \times A_g$ 
        - N = Number of imperfections within a grade class
        - A = Grade class to characterize imperfections
- Accumulation and concentration rules apply



#### Surface Treatment and Optical Coatings<sup>9, 21, 22</sup>

- Functional coatings are indicated by a  $\lambda$  inside a circle
- As defined in ISO 9211 descriptions and applications of an optical coating must precede the specifications for spectral characteristics (e.g. Antireflecting [AR] or Filtering [FI])
  - Coatings specifications can primarily be broken down into three designations
    - $\tau(\lambda)$  or  $T(\lambda)$  = transmission for a waveband
    - $\rho(\lambda)$  or  $R(\lambda)$  = reflectance for a waveband
    - $\alpha(\lambda)$  or  $A(\lambda)$  = absorbance for a waveband
  - Additional methods are possible to describe an optical coating past the waveband
    - Angle of incidence (AOI)
    - Aperture size
    - Polarization orientation
    - Phase
- Surface treatments
  - Indicated on the optic by a thick chain line adjacent to the treated region
  - Specifications are written in a box with a leader line to the treated region
- Surface treatment applications
  - mitigate damage to the optic (i.e. handling, environmental effects)
  - Provide functional uses outside main use of the optic (e.g. stray light control)

#### Laser Damage Threshold<sup>17, 25, 26</sup>

- Written as  $6/X_{th}; \lambda; \tau_{th}$
- X can either be one of three conditions depending on the type of laser irradiation
  - Pulsed laser irradiation:
    - H<sub>th</sub> = Threshold energy density in units of J/cm<sup>2</sup>
    - E<sub>th</sub> = Threshold power density in units of W/cm<sup>2</sup>
  - Long pulse or CW laser irradiation
    - F<sub>th</sub> = Threshold linear power density in units of W/cm
    - A pulse is considered long when the thermal transit distance,  $(2D \tau_{eff})^{1/2}$ , is on the order of the size of the test spot  $d_{T,eff}$
    - D = Thermal diffusivity
- In both cases,  $\tau_{eff}$  = Effective pulse duration in units of s
- When a dash is present following 6/, or the 6/ is not included, the laser damage threshold is not defined and will not be tested

#### General Notation<sup>1</sup>

- Drawings can be prepared for an optical element or subassembly
- Default conditions unless stated otherwise:
  - Temperature = 20°C
  - Dimensional Units = mm
- Each tolerance property is applied for multiple cases:
  - X/ = element tolerance
  - 1X/ = subassembly tolerance
  - 0X/ = raw material tolerance
- Fundamental dimensions for a rotationally invariant optical element
  - Radius = dimensional value preceded by an R and the curvature orientation (cx or cc)
  - Thickness = dimensional value including tolerance unless specified otherwise
  - Diameter ( $\varnothing$ ) = dimensional value including tolerance
    - Effective diameter ( $\varnothing_e$ ) = sub-aperture where optical tolerances apply
  - Edge corners
    - Bevel = functional corner specified with a dimension, tolerance, and angle
    - Chamfer = nonfunctional corner specified as the maximum or minimum allowed width
- Unless stated within each tolerance where a wavelength value is necessary, the title block must include default wavelength
- The following optical material information needs to be listed; either
  - Manufacturer and optical material type
    - Multiple manufacturers may be listed for a given element
  - International glass code
  - The index and Abbe information
    - Material specifications occur at  $n_d$  and  $V_d$  or  $n_e$  and  $V_e$
- However the glass is specified, the tolerance on the index and dispersion must be indicated

#### Material Imperfections<sup>18</sup>

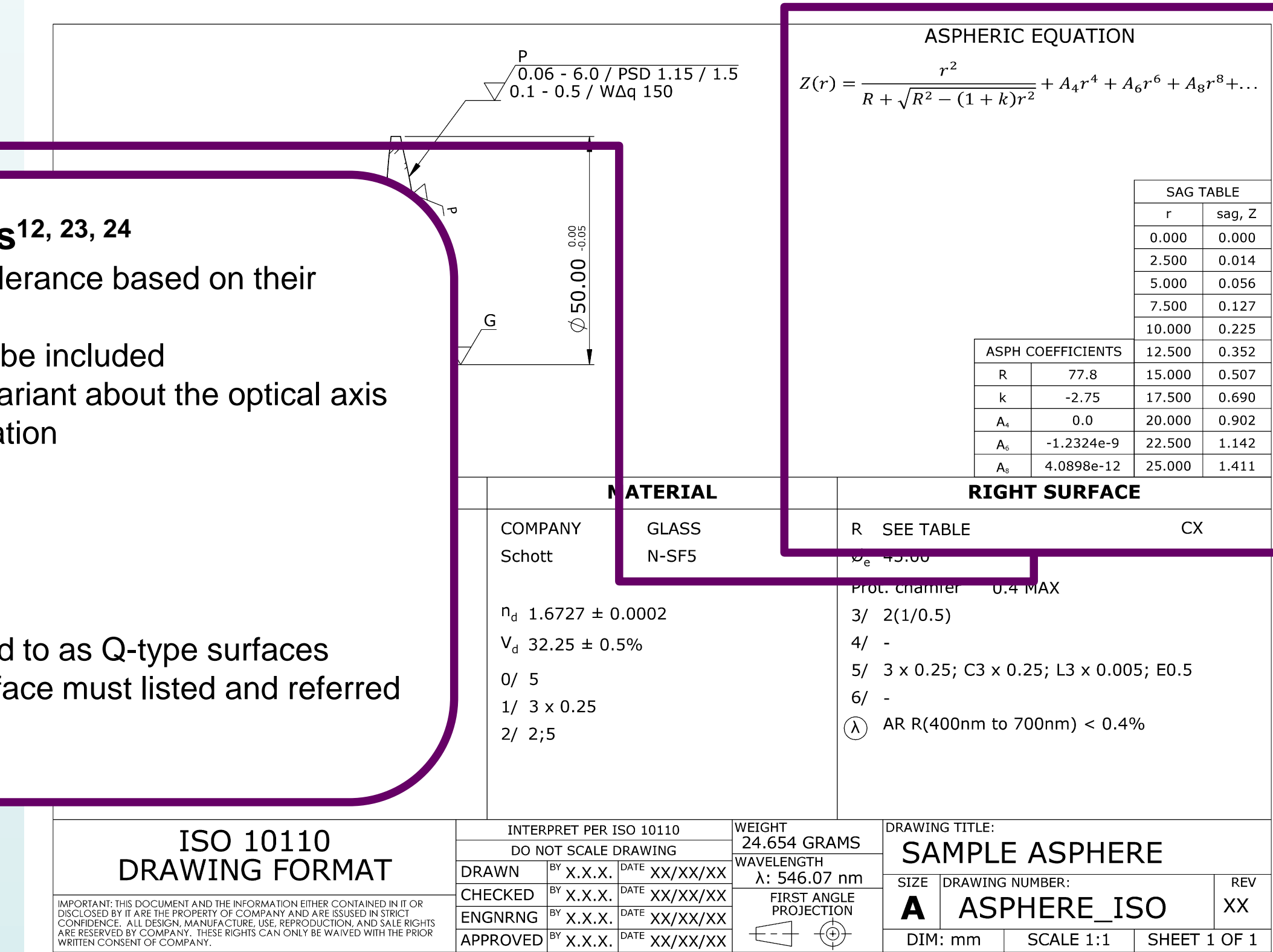
- Tolerances for optical materials are determined by three main groups
  - Stress birefringence: 0/A
    - A = maximum allowable optical path difference (OPD) in units of nm/cm
    - Value of stress birefringence is based on quality of annealing and optic size
  - Bubbles and inclusions: 1/N x A
    - A = grade of the bubbles determined by the square root of the projected area
    - N = number of allowable bubbles through a method of accumulation
  - Homogeneity and striae: 2/A; B
    - A = homogeneity grade based on tolerance limits of material
    - B = striae quality based on either the density or the wavefront deviation for a given material path
      - Striae can either be specified by a grade number or the more common shadowgraph class

#### Wavefront Deformation from an Element of Assembly<sup>14, 19</sup>

- Builds upon surface form tolerance specification where notation changes from 3/ to 13/
  - Written as  $13/A(B/C) \text{ RMSx} < D; \lambda = E$
- Value specified is needs to be measurable using a single-pass metrology method, typically found to be an interferometer
- Specification would be shown on the drawing view or in a total system specification section of the table
- Assembly deformation example:
  - 13/ - RMSx < 0.04;  $\lambda = 632.8 \text{ nm}$
  - Example states that the measured total rms wavefront error needs to be less than 0.04 waves different from the theoretical total rms wavefront error when tested at 632.8 nm
- When a dash or nothing is listed in place of one of the tolerances, that type of tolerance will not be applied

#### Aspheric and Rotationally Non-Variant Surfaces<sup>12, 23, 24</sup>

- Both aspheric and rotationally non-variant surfaces are tolerance based on their surface form
  - A sagitta table with  $\Delta z$  (sag) and/or  $\Delta s$  (slope) should be included
- Aspheric surfaces are surfaces that are rotationally non-variant about the optical axis
  - Surface shape is described through a multi-term equation
    - Conic section and a power series
      - $$z(h) = \frac{h^2}{R \sqrt{1 - (1+k)(\frac{h}{R})^2}} + \sum_{i=2}^n A_{2i} h^{2i}$$
    - Conic section and orthogonal polynomials
      - Multiple types of equations commonly referred to as Q-type surfaces
  - Coefficients and equation needed to describe the surface must be listed and referred to in the surface radius designation



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